

## Regulatory and Compliance Program Improvement Assessments

### Our Services

ABZ's experienced team of subject matter experts perform regulatory and compliance Program Improvement assessments in the energy industry. We understand the changing environment and challenges in the regulatory and compliance arena. Our expertise are process and continuous improvement, operational excellence, performance effectiveness and metrics, reliability regulation, asset and risk management, and leadership mentoring for executives, stakeholders, and senior management.

#### Consulting Services Include:

- Proven methodology on performing gap analysis of internal regulatory and compliance programs that includes internal interview, program/process/procedure reviews, and corporate risk management.
- Provide consulting leadership with client management team to identify and implement appropriate internal controls to enhance regulatory assurance.
- Assess effectiveness of regulatory and compliance software applications. Provide software systems solutions and make independent recommendations for improving the use of in-house applications.
- Provide benchmarking assessment with like industry leaders and peer organizations to advance client core capabilities. We identify the best practices and propose recommendations for areas of improvement within the structure of our client's organization.
- Provide periodic updates to client management team during assessments to mitigate discoveries and implement corrective actions.
- Deliver a final report of the Findings, Areas of Concern, Best Industry Practices, Recommendations, and Roadmap for our clients to implement.
- Periodic follow-up reviews to assure recommendations are being implemented, assess if continuous improvement culture has advanced, and provide feedback to the leadership team.
- Evaluate root cause of non-compliance conditions and determine what the underlying causes are and recommend solutions to mitigate future repeat conditions.
- Provide facilitation of client's resiliency modeling and assessments.

